Atty. Docket No. KIL01 P437 Express Mail No. EV573205335US

## IN THE UNITED STATES PATENGAND PRADEMARK OFFICE 27 SEP 2003

**Applicant** 

: Robert John Barnes et al.

For

: TRANSMISSION ACTUATOR AND CONTROL METHOD THEREFORE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage in an international application.

Copies of the listed foreign patent documents are attached. As the Office has waived the requirement under 37 CFR §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, a copy of the listed U.S. patent application publication document is not included with the submission of attached Form PTO 1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

An English translation of the abstract of the non-English document is enclosed.

The listed documents were cited in the International Search Report which issued from corresponding patent applications. A copies of the Search Report is included herewith. The Search Report is not a reference, and Applicants do not necessarily subscribe to any of the statements contained therein.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

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constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

Date

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Complete if Known

Application Number

Filling Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

	_		U. S. PATENT	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
		Number-Kind Code <sup>2 (if known)</sup>			Figures Appear
		<sup>US-</sup> 2003/0033854	02/20/2003	Klik	
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Country Coo	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°		
		DE	3705172	10/01/1987	Seiki		<u> </u>		
	<b></b>	EP	0933564	08/04/1999	Harris		<u></u>		
	1	EP	0477564	04/01/1992	Kouno				
	1	EP	1005847	11/29/2000	Ueda		L		
	<b>†</b>		•••••						

Examiner	Date
	Considered
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.